

# Federal Agency Research Security Risk Assessments

Agency	Risk Factor 1	Risk Factor 2	Risk Factor 3	Risk Factor 4	Co-authorship considered in risk assessment?	How far back?
DoD (incl DARPA)	Foreign Talent Recruitment Program participation	Funding from FCOC	Patent applications or patents filed outside the U.S., particularly in FCOC	Association or affiliation with entities on entity lists	Yes	Some factors from 10/10/2019 (Griffin Letter) others from 08/09/2022 (signing of CHIPS)
U.S. Army	Foreign Talent Recruitment Program participation	Affiliation with denied entities	Funding from strategic competitors	Affiliation, association, or collaboration with strategic competitors	Yes, and also participant as a panelist at foreign conferences	Not specified
DOE	Foreign Talent Recruitment Program participation – foreign funding sources, certain concerning behaviors associated with patenting	Foreign ownership or control, criminal or regulatory issues, the supply chain for any sensitive equipment/supplies, and ties to entities on specified lists	Risk factors tied to date of activity or relationship	Technology considerations – emerging or critical technologies	Yes, not stated in policy but verbally DOE has stated they may look at co-authors	Not clearly specified but 2019
NIH	Foreign Talent Recruitment Program participation	Undisclosed foreign funding, particularly from FCOC	Undisclosed affiliations with foreign institutions or entities, particularly with FCOC	N/A	No, if not directly related to NIH-funded work	5 years
NSF (Quantum / AI only)	Active appointments and positions with or research support from U.S. proscribed parties and party to MFTRP	Nondisclosures of appointments, activities, and sources of research support	Potential foreseeable national security applications of the research	N/A	N/A	Undisclosed information will be examined from January 2022 (NSPM-33 implementation guidance issued)